



COPY OF PAPERS
ORIGINALLY FILED

Attorney Docket No. AELL-110CP
62652-013

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Todd D. Graham and Jonathan C. Hudson
Serial No: 09/989,479
Filing Date: November 20, 2001
Title: DYNAMIC FILE ACCESS CONTROL AND MANAGEMENT
Art Unit: 2154

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Date: 2-15-02

Diane Valeta

RECEIVED

Assistant Commissioner for Patents
Washington, DC 20231

MAR 13 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Applicants and their attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. This Statement is being filed before three months from the filing date of the application, and before the receipt of any Official Action from the Patent and Trademark Office.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicants understand that the Examiner will make an independent evaluation of the cited publications.

No additional costs are believed to be due in connection with the filing of this disclosure. However, please charge any necessary fees to our Deposit Account No. 50-1133.

Respectfully submitted,

David M. Mello, Reg. No. 43,799

McDermott, Will & Emery
28 State Street
Boston, MA 02109
Tel (617) 535-4037
Fax (617) 535-3800

Date: February 15, 2001

BST99 1270819-1.062652.0013

Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. AELL-110CP		SERIAL NO. 09/989,479	
<div style="position: relative; height: 100px;"> <div style="position: absolute; top: 0; left: 0; width: 100%; height: 100%; border: 1px solid black; border-radius: 50%; text-align: center; font-size: 2em; line-height: 1;"> O I P E MAR 05 2002 PATENT & TRADEMARK OFFICE </div> </div>					INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)			
					APPLICANT Todd D. Graham et al			
					FILING DATE November 20, 2001		GROUP ART UNIT 2154	
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
	A1 *	6,016,494	1/11/00	AHARONI	709	219	6/26/97	
	A2 *	5,903,775	5/11/99	MURRAY	395	853	6/696	
	A3 *	6,041,411	3/21/00	WYATT	713	200	3/28/97	
	A4 *	6,073,124	6/6/00	KRISHNAN	705	59	7/15/97	
	A5 *	6,016,491	1/18/00	KOU .	707	9	1/7/97	
	A6 *	5,940,516	8/17/99	MASON	380	49	10/16/96	
	A7 *	5,737,635	3/7/98	DAINES	395	872	10/2/96	
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.								

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/717,474, filed November 20, 2000, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

COPY OF PAPERS
ORIGINALLY FILED

Page 2 of 3

Form PJO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

(MODIFIED)

AELL-1106P

09/989,479

APPLICANT

Todd D. Graham et al

FILING DATE

November 20, 2001

GROUP ART UNIT

2154

INFORMATION DISCLOSURE CITATION

MAR 05 2002

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A8 *	5,832,300	11/3/98	LOWTHERT	395	835	6/20/96
	A9 *	5,719,786	2/17/98	NELSON	364	514	2/3/93
	A10*	5,729,594	3/17/98	KLINGMAN	379	93.12	6/7/96
	A11*	6,012,144	1/4/01	PICKETT	713	201	10/1/97
	A12*	6,014,650	1/1/00	ZAMPESE	705	44	8/19/97
	A13*	6,018,724	1/25/00	ARENT	705	44	6/30/97
	A14*	6,055,314	4/25/00	SPIES	308	21	3/22/96
	A15*	6,108,787	8/22/00	ANDERSON	713	201	3/29/96
	A16*	6,049,612	4/11/00	FIELDER	380	44	12/28/98
	A17*	5,742,685	4/21/98	BERSON	380	25	11/11/95
	A18*	5,815,665	9/29/98	TEPER	395	200.59	4/3/96
	A19*	5,830,609	11/3/98	WARNER	430	10	5/10/96
	A20*	5,933,497	8/3/99	BEETCHER	380	4	1/29/93
	A21*	5,963,909	11/5/99	WARREN	705	1	11/25/97
	A22*	5,963,915	11/5/99	KIRSCH	705	26	2/21/96
	A23*	5,991,372	11/23/99	DAVENPORT	379	91.02	9/2/97
	A24*	5,935,246	8/10/99	BENSON	713	200	4/11/97
	A25*	5,856,266	1/5/99	GASPER	503	206	5/9/97
	A26*	5,991,499	11/23/99	YAGASAKI	386	94	8/30/96
	A27*	6,073,123	6/6/00	STALEY	705	58	2/26/97
	A28*	5,694,546	12/2/97	REISMAN	395	200.9	5/31/94
	A29*	5,933,816	8/3/99	ZEANAH	705	35	8/7/97
	A30*	5,884,246	3/16/99	BOUCHER	704	2	12/4/96
	A31*	5,864,667	1/26/99	BARKAN	395	187.01	8/22/97
	A32*	5,982,891	11/9/99	GINTER	380	4	11/4/97
	A33*	6,061,448	5/9/00	SMITH	380	21	4/1/97
	A34*	6,055,575	4/25/00	PAULSEN	709	229	1/26/98
	A35*	6,023,509	2/8/00	HERBERT	380	25	9/30/96

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/717,474, filed November 20, 2000, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

RECEIVED

MAR 13 2002
Technology Center 2100

